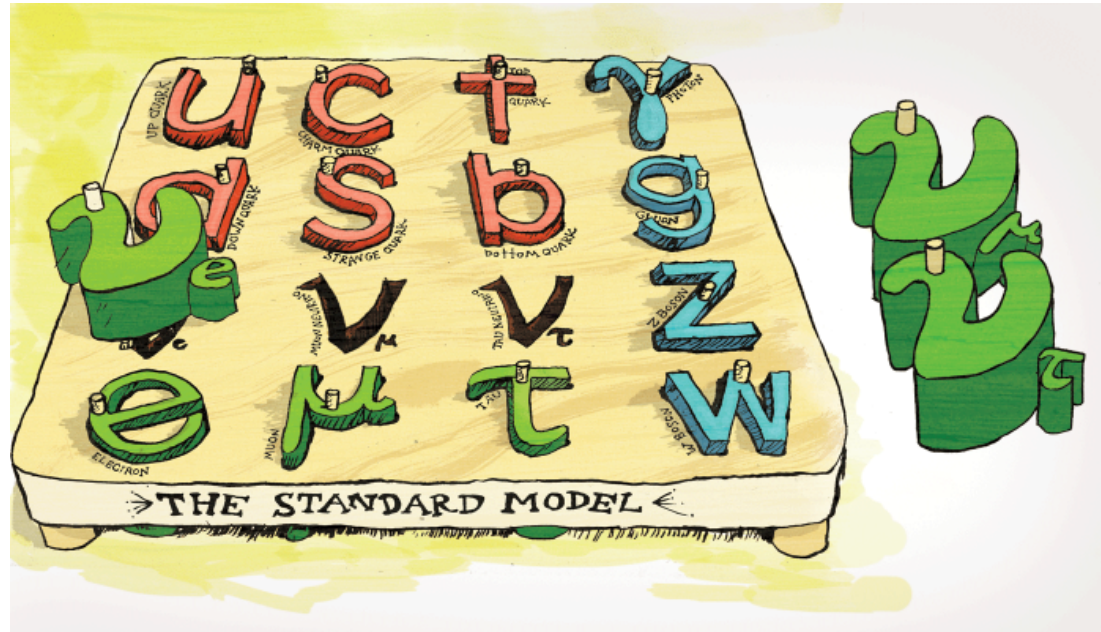


# Neutrino Theory



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*Topics in Cosmic Neutrino Physics*

*October 9–11, 2019*

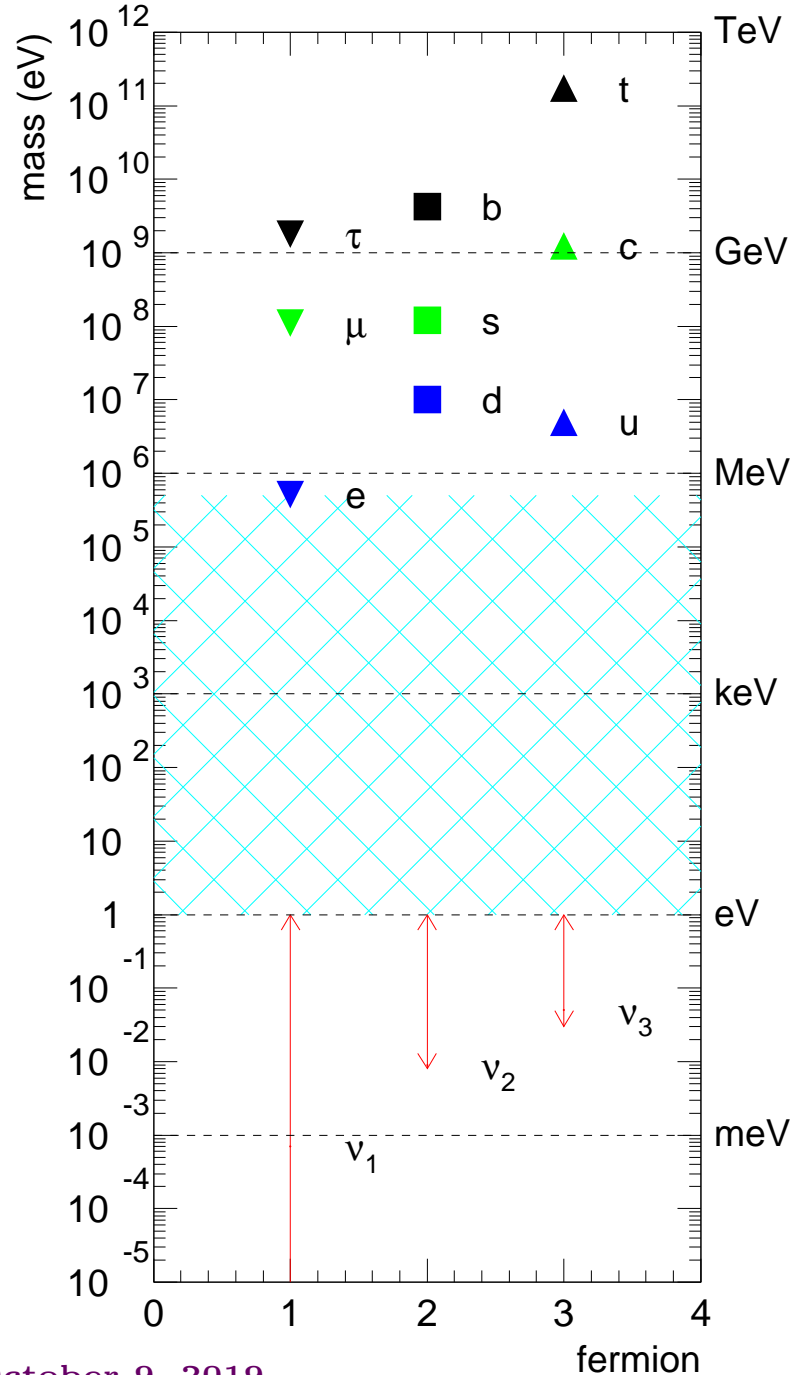
## Something Funny Happened on the Way to the 21st Century

### $\nu$ Flavor Oscillations

Neutrino oscillation experiments have revealed that **neutrinos change flavor** after propagating a finite distance. The rate of change depends on the neutrino energy  $E_\nu$  and the baseline  $L$ . The evidence is overwhelming.

- $\nu_\mu \rightarrow \nu_\tau$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$  — atmospheric and accelerator experiments;
- $\nu_e \rightarrow \nu_{\mu,\tau}$  — solar experiments;
- $\bar{\nu}_e \rightarrow \bar{\nu}_{\text{other}}$  — reactor experiments;
- $\nu_\mu \rightarrow \nu_{\text{other}}$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_{\text{other}}$  — atmospheric and accelerator expts;
- $\nu_\mu \rightarrow \nu_e$  — accelerator experiments.

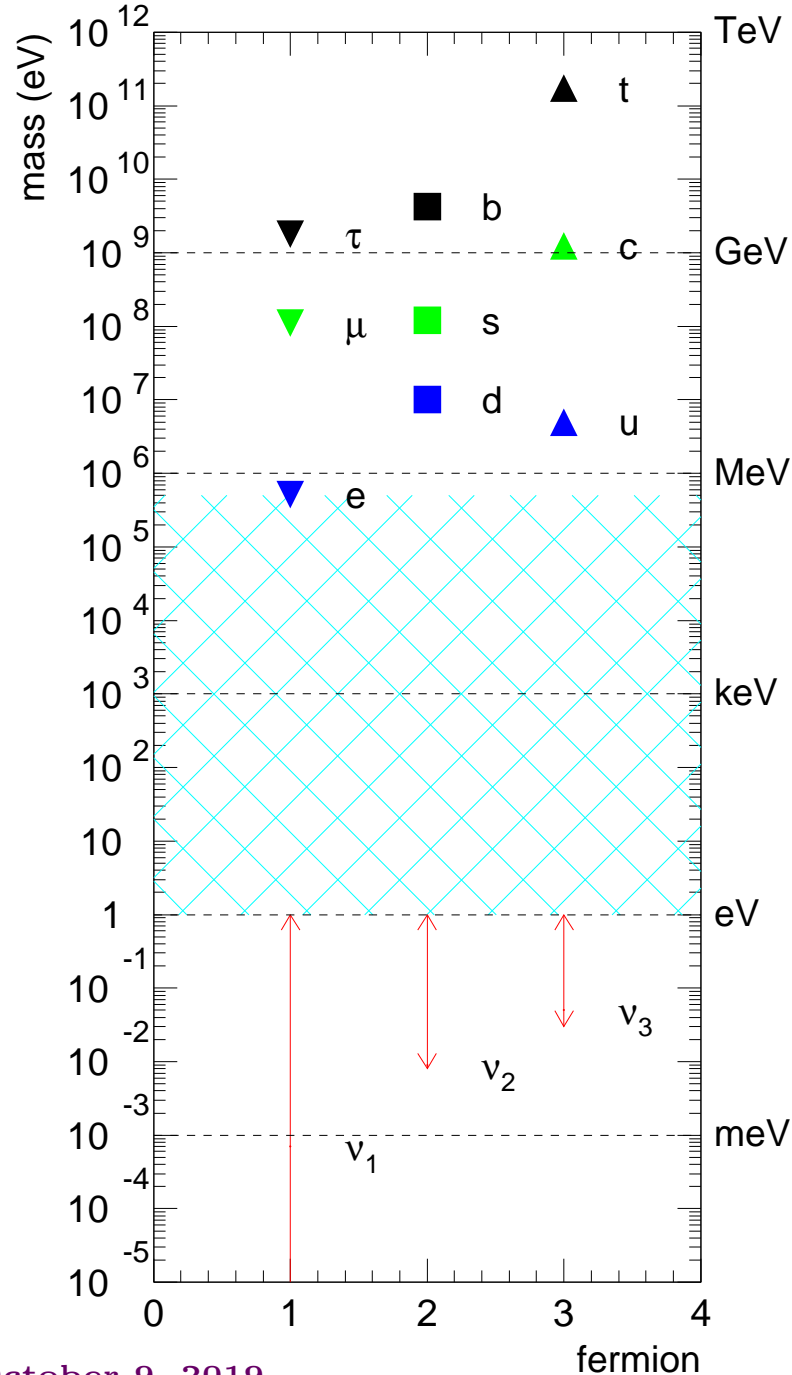
The simplest and **only satisfactory** explanation of **all** this data is that neutrinos have distinct masses, and mix.



# NEUTRINOS HAVE MASS

[albeit very tiny ones...]

So What?



# NEUTRINOS HAVE MASS

[albeit very tiny ones...]

So What?



NEW PHYSICS

## Neutrino Masses are the Only\* “Palpable” Evidence of Physics Beyond the Standard Model

Regardless of how neutrino masses “happen,” they call for new fields, new interactions, or new symmetries.

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\* There is only a handful of questions our model for fundamental physics cannot explain (my personal list. Feel free to complain).

- What is the physics behind electroweak symmetry breaking? (Higgs ✓).
- What is the dark matter? (not in SM).
- Why is there more matter than antimatter in the Universe? (not in SM).
- Why does the Universe appear to be accelerating? Why does it appear that the Universe underwent rapid acceleration in the past [inflation]? (not in SM).

## What is the New Standard Model? [ $\nu$ SM]

The short answer is – WE DON'T KNOW. Not enough available info!



Equivalently, there are several completely different ways of addressing neutrino masses. The key issue is to understand what else the  $\nu$ SM candidates can do. [are they falsifiable?, are they “simple”?, do they address other outstanding problems in physics?, etc]

We need more experimental input.

## Neutrino Masses, EWSB, and a New Mass Scale of Nature

The LHC has revealed that the minimum SM prescription for electroweak symmetry breaking — the one Higgs double model — is at least approximately correct. What does that have to do with neutrinos?

The tiny neutrino masses point to three different possibilities.

1. Neutrinos talk to the Higgs boson very, very **weakly** (Dirac neutrinos);
2. Neutrinos talk to a **different Higgs** boson – there is a new source of electroweak symmetry breaking! (Majorana neutrinos);
3. Neutrino masses are small because there is **another source of mass** out there — a new energy scale indirectly responsible for the tiny neutrino masses, a la the seesaw mechanism (Majorana neutrinos).



## Fork on the Road: Are Neutrinos Majorana or Dirac Fermions?



Best (Only?) Bet: Neutrinoless Double-Beta Decay. [ $\Rightarrow$  Talk Tomorrow]



## We Will Still Need More Help ...



## $\nu$ SM – One Path

SM as an effective field theory – non-renormalizable operators

$$\mathcal{L}_{\nu\text{SM}} \supset -y_{ij} \frac{L^i H L^j H}{2\Lambda} + \mathcal{O}\left(\frac{1}{\Lambda^2}\right) + H.c.$$

There is only one dimension five operator [Weinberg, 1979]. If  $\Lambda \gg 1$  TeV, it leads to only one observable consequence...

$$\text{after EWSB } \mathcal{L}_{\nu\text{SM}} \supset \frac{m_{ij}}{2} \nu^i \nu^j; \quad m_{ij} = y_{ij} \frac{v^2}{\Lambda}.$$

- Neutrino masses are small:  $\Lambda \gg v \rightarrow m_\nu \ll m_f$  ( $f = e, \mu, u, d$ , etc)
- Neutrinos are Majorana fermions – Lepton number is violated!
- $\nu$ SM effective theory – not valid for energies above at most  $\Lambda$ .
- What is  $\Lambda$ ? First naive guess is that  $\Lambda$  is the Planck scale – does not work.  
Data require  $\Lambda \sim 10^{14}$  GeV (related to GUT scale?) [note  $y^{\text{max}} \equiv 1$ ]

What else is this “good for”? Depends on the ultraviolet completion!

## The Seesaw Lagrangian

A simple<sup>a</sup>, renormalizable Lagrangian that allows for neutrino masses is

$$\mathcal{L}_\nu = \mathcal{L}_{\text{old}} - \lambda_{\alpha i} L^\alpha H N^i - \sum_{i=1}^3 \frac{M_i}{2} N^i N^i + H.c.,$$

where  $N^i$  ( $i = 1, 2, 3$ , for concreteness) are SM gauge singlet fermions.

$\mathcal{L}_\nu$  is the most general, renormalizable Lagrangian consistent with the SM gauge group and particle content, plus the addition of the  $N_i$  fields.

After electroweak symmetry breaking,  $\mathcal{L}_\nu$  describes, besides all other SM degrees of freedom, six Majorana fermions: **six neutrinos**.

---

<sup>a</sup>Only requires the introduction of three fermionic degrees of freedom, no new interactions or symmetries.

## To be determined from data: $\lambda$ and $M$ .

The data can be summarized as follows: there is evidence for three neutrinos, mostly “active” (linear combinations of  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ ). At least two of them are massive and, if there are other neutrinos, they have to be “sterile.”

This provides very little information concerning the magnitude of  $M_i$  (assume  $M_1 \sim M_2 \sim M_3$ ).

Theoretically, there is prejudice in favor of very large  $M$ :  $M \gg v$ . Popular examples include  $M \sim M_{\text{GUT}}$  (GUT scale), or  $M \sim 1 \text{ TeV}$  (EWSB scale).

Furthermore,  $\lambda \sim 1$  translates into  $M \sim 10^{14} \text{ GeV}$ , while thermal leptogenesis requires the lightest  $M_i$  to be around  $10^{10} \text{ GeV}$ .

we can impose very, very few experimental constraints on  $M$

## High-Energy Seesaw: Brief Comments

- This is everyone's favorite scenario.
- Upper bound for  $M$  (e.g. Maltoni, Niczyporuk, Willenbrock, hep-ph/0006358):

$$M < 7.6 \times 10^{15} \text{ GeV} \times \left( \frac{0.1 \text{ eV}}{m_\nu} \right).$$

- Hierarchy problem hint (e.g., Casas et al, hep-ph/0410298; Farina et al, ; 1303.7244; AdG et al, 1402.2658):

$$M < 10^7 \text{ GeV}.$$

- Leptogenesis! “Vanilla” Leptogenesis requires, very roughly, smallest

$$M > 10^9 \text{ GeV}.$$

- Stability of the Higgs potential (e.g., Elias-Miró et al, 1112.3022):

$$M < 10^{13} \text{ GeV}.$$

- Physics “too” heavy! No observable consequence other than leptogenesis.  
Will we ever convince ourselves that this is correct? (Buckley et al, hep-ph/0606088)

## Low-Energy Seesaw: Brief Comments [AdG PRD72,033005)]

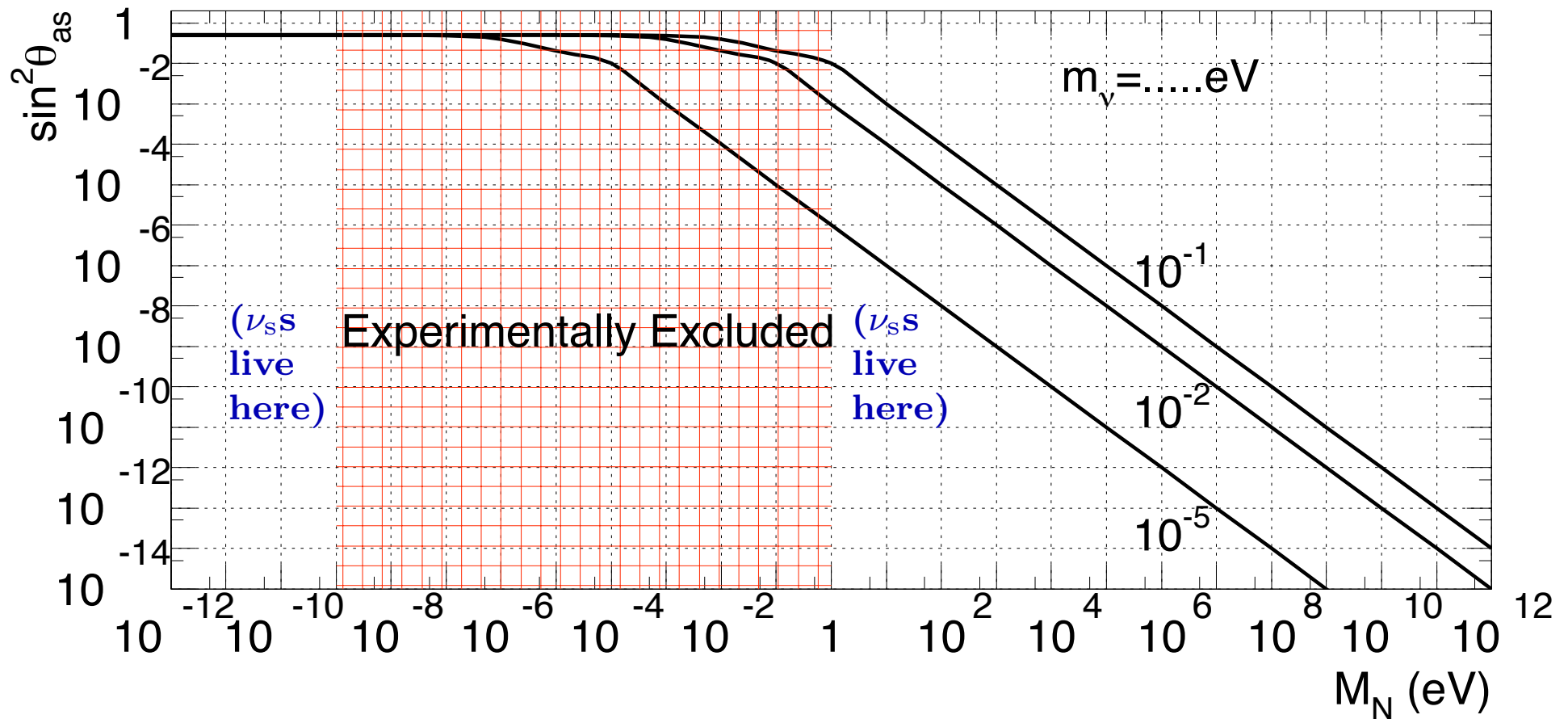
The other end of the  $M$  spectrum ( $M < 100$  GeV). What do we get?

- Neutrino masses are small because the Yukawa couplings are very small  $\lambda \in [10^{-6}, 10^{-11}]$ ;
- No standard thermal leptogenesis – right-handed neutrinos way too light?  
[For a possible alternative see Canetti, Shaposhnikov, arXiv: 1006.0133 and reference therein.]
- No obvious connection with other energy scales (EWSB, GUTs, etc);
- Right-handed neutrinos are propagating degrees of freedom. They look like sterile neutrinos  $\Rightarrow$  sterile neutrinos associated with the fact that the active neutrinos have mass;
- sterile–active mixing can be predicted – hypothesis is falsifiable!
- Small values of  $M$  are natural (in the ‘tHooft sense). In fact, theoretically, no value of  $M$  should be discriminated against!



# Constraining the Seesaw Lagrangian

[AdG, Huang, Jenkins, arXiv:0906.1611]



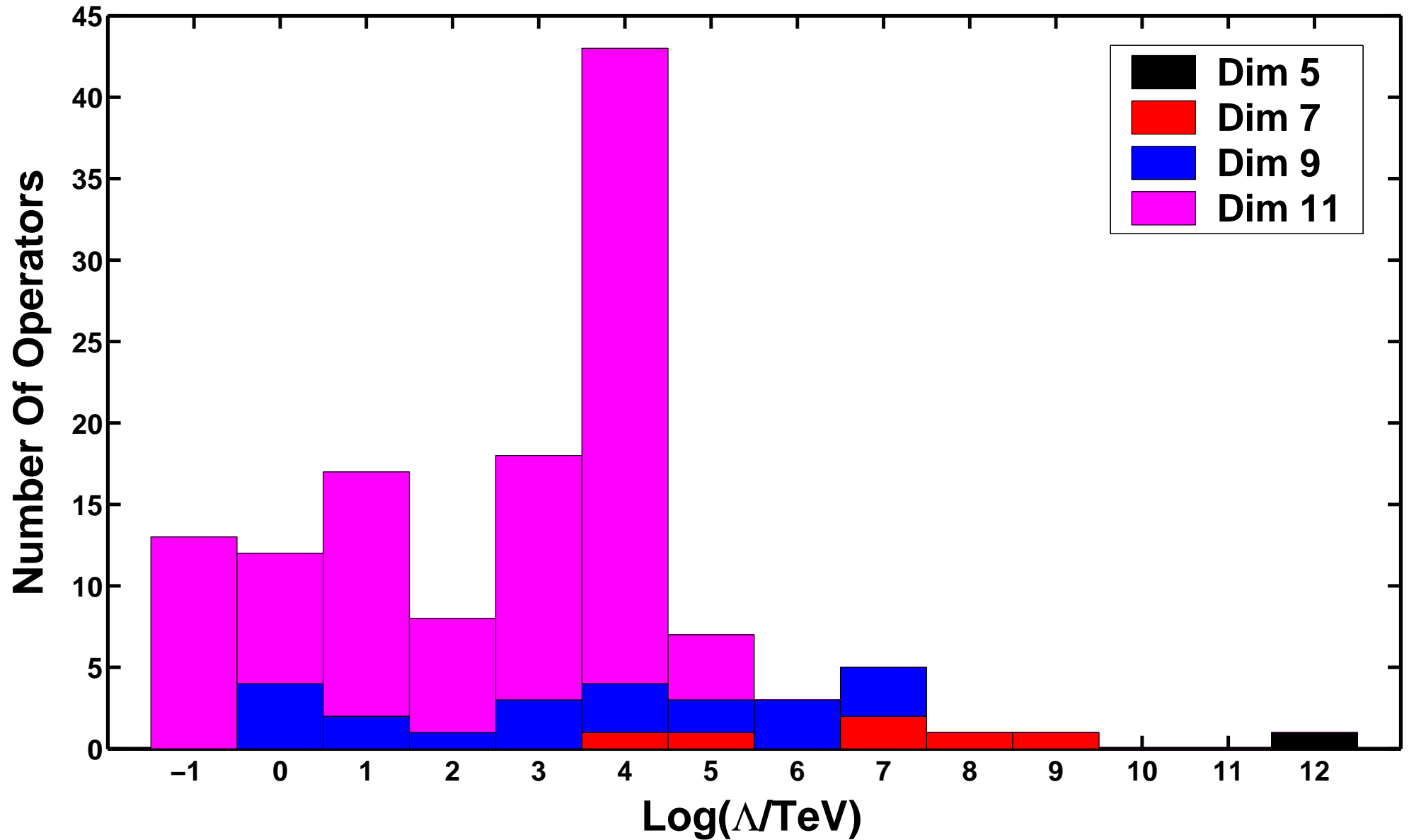
Theoretical upper bound:  $M_N < 7.6 \times 10^{24} \text{ eV} \times \left( \frac{0.1 \text{ eV}}{m_\nu} \right) \Rightarrow \Rightarrow \Rightarrow$

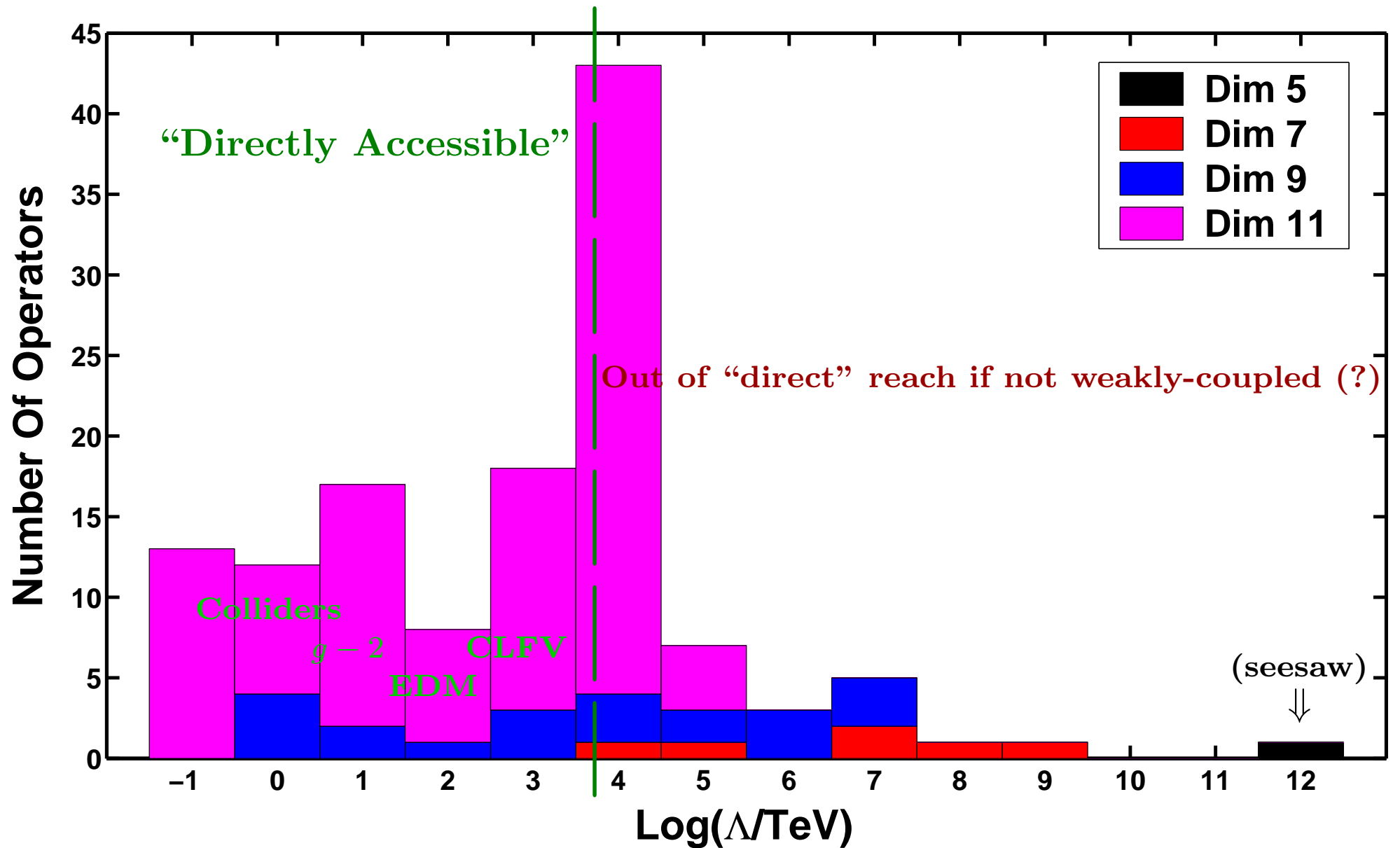
## Higher Order Neutrino Masses from $\Delta L = 2$ Physics – Other Paths

Imagine that there is **new physics that breaks lepton number by 2 units** at some energy scale  $\Lambda$ , but that it does not, in general, lead to neutrino masses **at the tree level**.

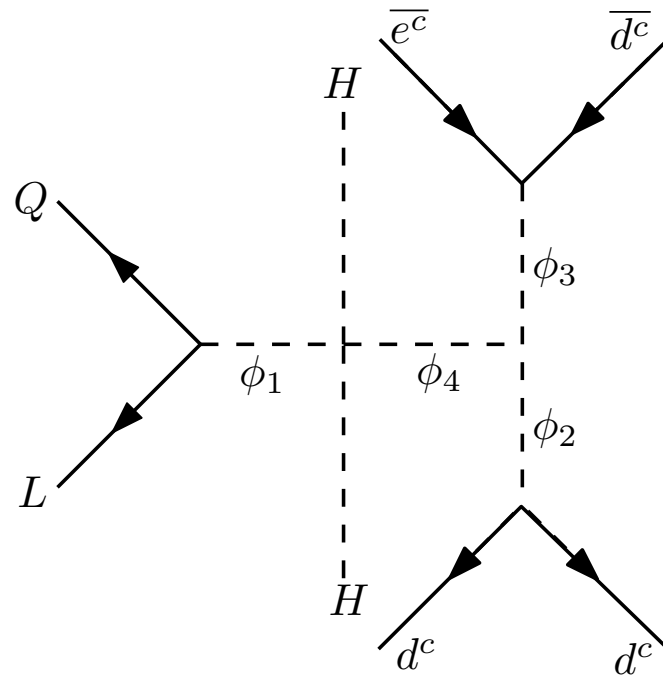
We know that neutrinos will get a mass at some order in perturbation theory – which order is model dependent!

André de Gouvêa	4 <sub>a</sub>	$L^i L^j \bar{Q}_i \bar{u}^c H^k \epsilon_{jk}$	$\frac{y_u}{16\pi^2} \frac{v^2}{\Lambda}$	$4 \times 10^9$	$\beta\beta 0\nu$
	4 <sub>b</sub>	$L^i L^j \bar{Q}_k \bar{u}^c H^k \epsilon_{ij}$	$\frac{y_u g^2}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$6 \times 10^6$	$\beta\beta 0\nu$
AdG, Jenkins, 0708.1344 [hep-ph]	5	$L^i L^j Q^k d^c H^l H^m \bar{H}_i \epsilon_{jl} \epsilon_{km}$	$\frac{y_d}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$6 \times 10^5$	$\beta\beta 0\nu$
	6	$L^i L^j \bar{Q}_k \bar{u}^c H^l H^k \bar{H}_i \epsilon_{jl}$	$\frac{y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$2 \times 10^7$	$\beta\beta 0\nu$
Effective	7	$L^i Q^j \bar{e}^c \bar{Q}_k H^k H^l H^m \epsilon_{il} \epsilon_{jm}$	$y_{\ell\beta} \frac{g^2}{(16\pi^2)^2} \frac{v^2}{\Lambda} \left( \frac{1}{16\pi^2} + \frac{v^2}{\Lambda^2} \right)$	$4 \times 10^2$	mix
	8	$L^i \bar{e}^c \bar{u}^c d^c H^j \epsilon_{ij}$	$y_{\ell\beta} \frac{y_d y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$6 \times 10^3$	mix
Operator	9	$L^i L^j L^k e^c L^l e^c \epsilon_{ij} \epsilon_{kl}$	$\frac{y_\ell^2}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$3 \times 10^3$	$\beta\beta 0\nu$
	10	$L^i L^j L^k e^c Q^l d^c \epsilon_{ij} \epsilon_{kl}$	$\frac{y_\ell y_d}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$6 \times 10^3$	$\beta\beta 0\nu$
Approach	11 <sub>a</sub>	$L^i L^j Q^k d^c Q^l d^c \epsilon_{ij} \epsilon_{kl}$	$\frac{y_d^2 g^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	30	$\beta\beta 0\nu$
	11 <sub>b</sub>	$L^i L^j Q^k d^c Q^l d^c \epsilon_{ik} \epsilon_{jl}$	$\frac{y_d^2}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$2 \times 10^4$	$\beta\beta 0\nu$
(there are 129	12 <sub>a</sub>	$L^i L^j \bar{Q}_i \bar{u}^c \bar{Q}_j \bar{u}^c$	$\frac{y_u^2}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$2 \times 10^7$	$\beta\beta 0\nu$
	12 <sub>b</sub>	$L^i L^j \bar{Q}_k \bar{u}^c \bar{Q}_l \bar{u}^c \epsilon_{ij} \epsilon^{kl}$	$\frac{y_u^2 g^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	$4 \times 10^4$	$\beta\beta 0\nu$
of them if you	13	$L^i L^j \bar{Q}_i \bar{u}^c L^l e^c \epsilon_{jl}$	$\frac{y_\ell y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$2 \times 10^5$	$\beta\beta 0\nu$
	14 <sub>a</sub>	$L^i L^j \bar{Q}_k \bar{u}^c Q^k d^c \epsilon_{ij}$	$\frac{y_d y_u g^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	$1 \times 10^3$	$\beta\beta 0\nu$
discount different	14 <sub>b</sub>	$L^i L^j \bar{Q}_i \bar{u}^c Q^l d^c \epsilon_{jl}$	$\frac{y_d y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda}$	$6 \times 10^5$	$\beta\beta 0\nu$
	15	$L^i L^j L^k d^c \bar{L}_i \bar{u}^c \epsilon_{jk}$	$\frac{y_d y_u g^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	$1 \times 10^3$	$\beta\beta 0\nu$
Lorentz structures!)	16	$L^i L^j e^c d^c \bar{e}^c \bar{u}^c \epsilon_{ij}$	$\frac{y_d y_u g^4}{(16\pi^2)^4} \frac{v^2}{\Lambda}$	2	$\beta\beta 0\nu$ , LHC
	17	$L^i L^j d^c d^c \bar{d}^c \bar{u}^c \epsilon_{ij}$	$\frac{y_d y_u g^4}{(16\pi^2)^4} \frac{v^2}{\Lambda}$	2	$\beta\beta 0\nu$ , LHC
classified by Babu	18	$L^i L^j d^c u^c \bar{u}^c \bar{u}^c \epsilon_{ij}$	$\frac{y_d y_u g^4}{(16\pi^2)^4} \frac{v^2}{\Lambda}$	2	$\beta\beta 0\nu$ , LHC
	19	$L^i Q^j d^c d^c \bar{e}^c \bar{u}^c \epsilon_{ij}$	$y_{\ell\beta} \frac{y_d^2 y_u}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	1	$\beta\beta 0\nu$ , HElnv, LHC, mix
and Leung in	20	$L^i d^c \bar{Q}_i \bar{u}^c \bar{e}^c \bar{u}^c$	$y_{\ell\beta} \frac{y_d y_u^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	40	$\beta\beta 0\nu$ , mix
	21 <sub>a</sub>	$L^i L^j L^k e^c Q^l u^c H^m H^n \epsilon_{ij} \epsilon_{km} \epsilon_{ln}$	$\frac{y_\ell y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda} \left( \frac{1}{16\pi^2} + \frac{v^2}{\Lambda^2} \right)$	$2 \times 10^3$	$\beta\beta 0\nu$
NPB619,667(2001)	21 <sub>b</sub>	$L^i L^j L^k e^c Q^l u^c H^m H^n \epsilon_{il} \epsilon_{jm} \epsilon_{kn}$	$\frac{y_\ell y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda} \left( \frac{1}{16\pi^2} + \frac{v^2}{\Lambda^2} \right)$	$2 \times 10^3$	$\beta\beta 0\nu$
	22	$L^i L^j L^k e^c \bar{L}_k \bar{e}^c H^l H^m \epsilon_{il} \epsilon_{jm}$	$\frac{g^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	$4 \times 10^4$	$\beta\beta 0\nu$
October 9, 2019	23	$L^i L^j L^k e^c \bar{Q}_k \bar{d}^c H^l H^m \epsilon_{il} \epsilon_{jm}$	$\frac{y_\ell y_d}{(16\pi^2)^2} \frac{v^2}{\Lambda} \left( \frac{1}{16\pi^2} + \frac{v^2}{\Lambda^2} \right)$	40	$\beta\beta 0\nu$
	24 <sub>a</sub>	$L^i L^j Q^k d^c Q^l d^c H^m \bar{H}_i \epsilon_{jk} \epsilon_{lm}$	$\frac{y_d^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	$1 \times 10^2$	$\beta\beta 0\nu$
	24 <sub>b</sub>	$L^i L^j Q^k d^c Q^l d^c H^m \bar{H}_i \epsilon_{jm} \epsilon_{kl}$	$\frac{y_d^2}{(16\pi^2)^3} \frac{v^2}{\Lambda}$	$1 \times 10^2$	$\nu$ Theory
	25	$L^i L^j Q^k d^c Q^l u^c H^m H^n \epsilon_{im} \epsilon_{jn} \epsilon_{kl}$	$\frac{y_d y_u}{(16\pi^2)^2} \frac{v^2}{\Lambda} \left( \frac{1}{16\pi^2} + \frac{v^2}{\Lambda^2} \right)$	$4 \times 10^3$	$\beta\beta 0\nu$





[arXiv:0708.1344 [hep-ph]]



Order-One Coupled, Weak Scale Physics  
Can Also Explain Naturally Small  
Majorana Neutrino Masses:

Multi-loop neutrino masses from lepton number  
violating new physics.

$$-\mathcal{L}_{\nu\text{SM}} \supset \sum_{i=1}^4 M_i \phi_i \bar{\phi}_i + i y_1 Q L \phi_1 + y_2 d^c d^c \phi_2 + y_3 e^c d^c \phi_3 + \lambda_{14} \bar{\phi}_1 \phi_4 H H + \lambda_{234} M \phi_2 \bar{\phi}_3 \phi_4 + h.c.$$

$$m_\nu \propto (y_1 y_2 y_3 \lambda_{234}) \lambda_{14} / (16\pi)^4 \rightarrow \text{neutrino masses at 4 loops, requires } M_i \sim 100 \text{ GeV!}$$

WARNING: For illustrative purposes only. Scenario almost certainly ruled out by searches for charged-lepton flavor-violation and high-energy collider data.



## Dirac Neutrinos – Enhanced Symmetry!(Symmetries?)

Back to

$$\mathcal{L}_\nu = \mathcal{L}_{\text{old}} - \lambda_{\alpha i} L^\alpha H N^i - \sum_{i=1}^3 \frac{M_i}{2} N^i N^i + H.c.,$$

where  $N_i$  ( $i = 1, 2, 3$ , for concreteness) are SM gauge singlet fermions.

## Dirac Neutrinos – Enhanced Symmetry!(Symmetries?)

If all  $M_i \equiv 0$ , the neutrinos are Dirac fermions.

$$\mathcal{L}_\nu = \mathcal{L}_{\text{old}} - \lambda_{\alpha i} L^\alpha H N^i + H.c.,$$

where  $N_i$  ( $i = 1, 2, 3$ , for concreteness) are SM gauge singlet fermions. In this case, the  $\nu$ SM global symmetry structure is enhanced. For example,  $U(1)_{B-L}$  is an exactly conserved, global symmetry. This is new!

Downside: The neutrino Yukawa couplings  $\lambda$  are tiny, less than  $10^{-12}$ .

What is wrong with that? We don't like tiny numbers, but Nature seems to not care very much about what we like...

There are lots of ideas that lead to very small Dirac neutrino masses.

Maybe right-handed neutrinos exist, but neutrino Yukawa couplings are forbidden – hence neutrino masses are tiny.

One possibility is that the  $N$  fields are charged under some new symmetry (gauged or global) that is spontaneously broken.

$$\lambda_{\alpha i} L^\alpha H N^i \rightarrow \frac{\kappa_{\alpha i}}{\Lambda} (L^\alpha H) (N^i \Phi),$$

where  $\Phi$  (spontaneously) breaks the new symmetry at some energy scale  $v_\Phi$ . Hence,  $\lambda = \kappa v_\Phi / \Lambda$ . How do we test this?

E.g., [AdG and D. Hernández, arXiv:1507.00916](#)

Gauged chiral new symmetry for the right-handed neutrinos, no Majorana masses allowed, plus a heavy messenger sector. Predictions: new stable massive states (mass around  $v_\Phi$ ) which look like (i) dark matter, (ii) (Dirac) sterile neutrinos are required. Furthermore, there is a new heavy  $Z'$ -like gauge boson.

$\Rightarrow$  Natural Connections to Dark Matter, Sterile Neutrinos, Dark Photons!

## Understanding Fermion Mixing

One of the puzzling phenomena uncovered by the neutrino data is the fact that **Neutrino Mixing is Strange**. What does this mean?

It means that lepton mixing is very different from quark mixing:

$$V_{MNS} \sim \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix} \quad V_{CKM} \sim \begin{pmatrix} 1 & 0.2 & 0.001 \\ 0.2 & 1 & 0.01 \\ 0.001 & 0.01 & 1 \end{pmatrix} \quad \boxed{\text{WHY?}}$$

They certainly look VERY different, but which one would you label as “strange”?

## Piecing the Neutrino Mass Puzzle

Understanding the origin of neutrino masses and exploring the new physics in the lepton sector will require unique **theoretical** and **experimental** efforts ...

- understanding the fate of lepton-number. Neutrinoless double-beta decay. What else?
- A comprehensive long baseline neutrino program. (On-going T2K, NO $\nu$ A, etc. DUNE and HyperK next steps towards the ultimate “superbeam” experiment.)
- Different baselines and detector technologies a must for both over-constraining the system and looking for new phenomena.
- Probes of neutrino properties, including neutrino scattering experiments. And what are the neutrino masses anyway? Kinematical probes.
- Precision measurements of charged-lepton properties ( $g - 2$ , edm) and searches for rare processes ( $\mu \rightarrow e$ -conversion the best bet at the moment).
- Collider experiments. The LHC and beyond may end up revealing the new physics behind small neutrino masses.
- **Neutrino properties affect, in a significant way, the history of the universe (Cosmology). Will we learn about neutrinos from cosmology, or about cosmology from neutrinos?**

## Understanding Neutrino Oscillations

- After twenty years, it is still true that we have only managed to observe the effect of non-zero neutrino masses in neutrino oscillations.
- There are still many outstanding questions, and there is still room – with a lot of effort from theorists and experimentalists, including nuclear physicists – to do qualitatively better. **And there is room for more surprises!**
- It stands to reason that pursuing a vigorous neutrino oscillation program is a no brainer.
- How will these experiments inform the neutrino mass puzzle? We don't know.
- Can these experiments inform the neutrino mass puzzle? Absolutely. We won't know the answer until we are done.



## A Realistic, Reasonable, and Simple Paradigm:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{e\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Definition of neutrino mass eigenstates (who are  $\nu_1, \nu_2, \nu_3$ ):

- $m_1^2 < m_2^2$   $\Delta m_{13}^2 < 0$  – Inverted Mass Hierarchy
- $m_2^2 - m_1^2 \ll |m_3^2 - m_{1,2}^2|$   $\Delta m_{13}^2 > 0$  – Normal Mass Hierarchy

$$\tan^2 \theta_{12} \equiv \frac{|U_{e2}|^2}{|U_{e1}|^2}; \quad \tan^2 \theta_{23} \equiv \frac{|U_{\mu3}|^2}{|U_{\tau3}|^2}; \quad U_{e3} \equiv \sin \theta_{13} e^{-i\delta}$$

[For a detailed discussion see e.g. AdG, Jenkins, PRD78, 053003 (2008)]

# Three Flavor Mixing Hypothesis Fits All\* Data Really Well.

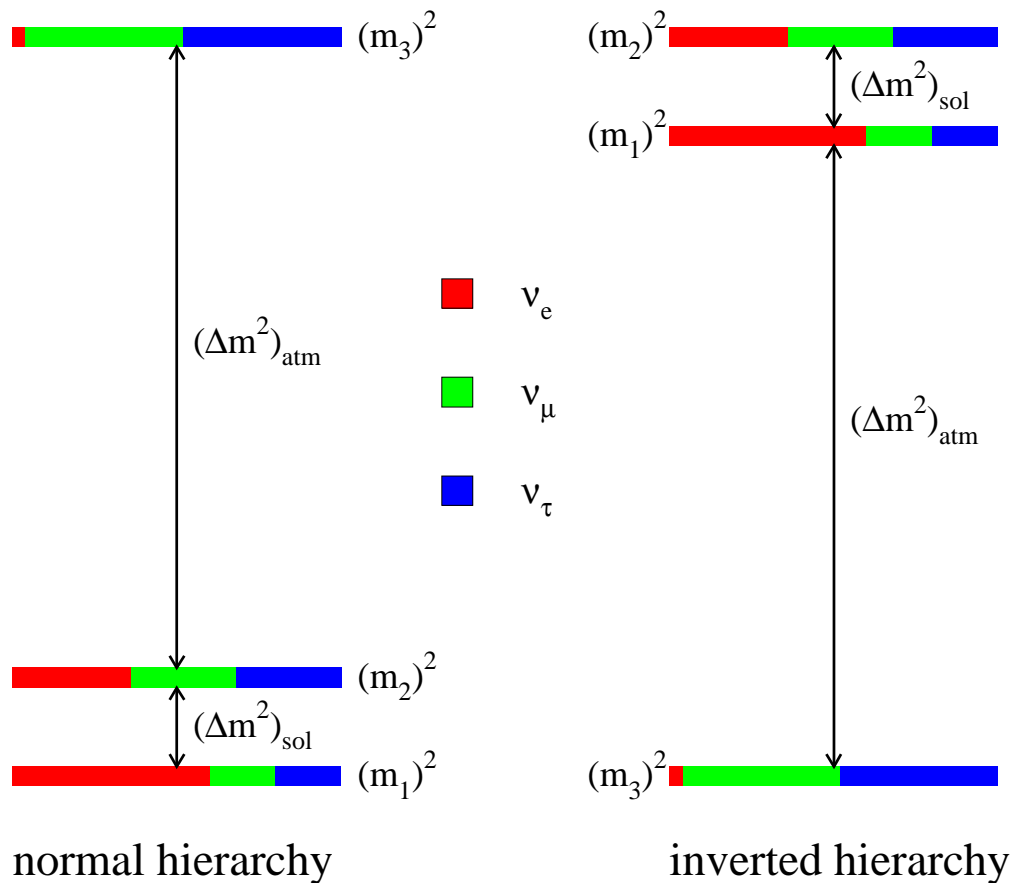
NuFIT 3.2 (2018)

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 4.14$ )		Any Ordering
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.307^{+0.013}_{-0.012}$	$0.272 \rightarrow 0.346$	$0.307^{+0.013}_{-0.012}$	$0.272 \rightarrow 0.346$	$0.272 \rightarrow 0.346$
$\theta_{12}/^\circ$	$33.62^{+0.78}_{-0.76}$	$31.42 \rightarrow 36.05$	$33.62^{+0.78}_{-0.76}$	$31.43 \rightarrow 36.06$	$31.42 \rightarrow 36.05$
$\sin^2 \theta_{23}$	$0.538^{+0.033}_{-0.069}$	$0.418 \rightarrow 0.613$	$0.554^{+0.023}_{-0.033}$	$0.435 \rightarrow 0.616$	$0.418 \rightarrow 0.613$
$\theta_{23}/^\circ$	$47.2^{+1.9}_{-3.9}$	$40.3 \rightarrow 51.5$	$48.1^{+1.4}_{-1.9}$	$41.3 \rightarrow 51.7$	$40.3 \rightarrow 51.5$
$\sin^2 \theta_{13}$	$0.02206^{+0.00075}_{-0.00075}$	$0.01981 \rightarrow 0.02436$	$0.02227^{+0.00074}_{-0.00074}$	$0.02006 \rightarrow 0.02452$	$0.01981 \rightarrow 0.02436$
$\theta_{13}/^\circ$	$8.54^{+0.15}_{-0.15}$	$8.09 \rightarrow 8.98$	$8.58^{+0.14}_{-0.14}$	$8.14 \rightarrow 9.01$	$8.09 \rightarrow 8.98$
$\delta_{\text{CP}}/^\circ$	$234^{+43}_{-31}$	$144 \rightarrow 374$	$278^{+26}_{-29}$	$192 \rightarrow 354$	$144 \rightarrow 374$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.40^{+0.21}_{-0.20}$	$6.80 \rightarrow 8.02$	$7.40^{+0.21}_{-0.20}$	$6.80 \rightarrow 8.02$	$6.80 \rightarrow 8.02$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.494^{+0.033}_{-0.031}$	$+2.399 \rightarrow +2.593$	$-2.465^{+0.032}_{-0.031}$	$-2.562 \rightarrow -2.369$	$\left[ +2.399 \rightarrow +2.593 \right]$ $\left[ -2.536 \rightarrow -2.395 \right]$

[Esteban *et al*, JHEP 01 (2017) 087, <http://www.nu-fit.org>]

\*Modulo a handful of  $2\sigma$  to  $3\sigma$  anomalies.

# Understanding Neutrino Oscillations: Are We There Yet? [NO!]



- What is the  $\nu_e$  component of  $\nu_3$ ? ( $\theta_{13} \neq 0!$ )
- Is CP-invariance violated in neutrino oscillations? ( $\delta \neq 0, \pi?$ ) ['yes' hint]
- Is  $\nu_3$  mostly  $\nu_\mu$  or  $\nu_\tau$ ? [ $\theta_{23} \neq \pi/4$  hint]
- What is the neutrino mass hierarchy? ( $\Delta m_{13}^2 > 0?$ ) [NH hint]

$\Rightarrow$  All of the above can “only” be addressed with new neutrino oscillation experiments

Ultimate Goal: Not Measure Parameters but Test the Formalism (Over-Constrain Parameter Space)

## Golden Opportunity to Understand Matter versus Antimatter?

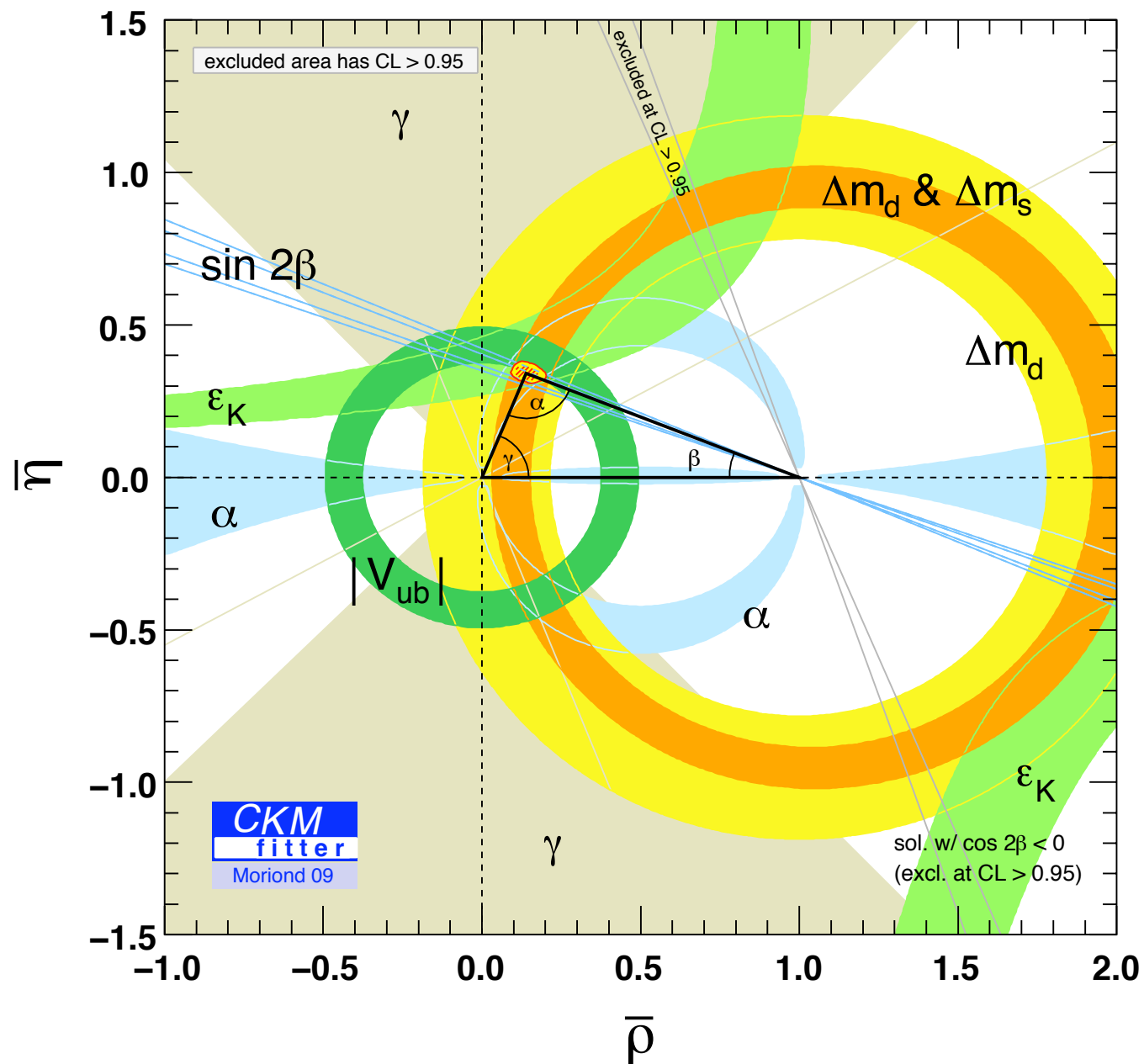
The SM with massive Majorana neutrinos accommodates **five** irreducible CP-invariance violating phases.

- One is the phase in the CKM phase. We have measured it, it is large, and we don't understand its value. At all.
- One is  $\theta_{QCD}$  term ( $\theta G\tilde{G}$ ). We don't know its value but it is only constrained to be very small. We don't know why (there are some good ideas, however).
- Three are in the neutrino sector. One can be measured via neutrino oscillations. 50% increase on the amount of information.

We don't know much about CP-invariance violation. Is it really fair to presume that CP-invariance is generically violated in the neutrino sector solely based on the fact that it is violated in the quark sector? Why?

Cautionary tale: “Mixing angles are small.”

## What we ultimately want to achieve:



We need to do this in  
the lepton sector!

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

What we have **really measured** (very roughly):

- Two mass-squared differences, at several percent level – many probes;
- $|U_{e2}|^2$  – solar data;
- $|U_{\mu2}|^2 + |U_{\tau2}|^2$  – solar data;
- $|U_{e2}|^2 |U_{e1}|^2$  – KamLAND;
- $|U_{\mu3}|^2 (1 - |U_{\mu3}|^2)$  – atmospheric data, K2K, MINOS, T2K, NO $\nu$ A;
- $|U_{e3}|^2 (1 - |U_{e3}|^2)$  – Double Chooz, Daya Bay, RENO;
- $|U_{e3}|^2 |U_{\mu3}|^2$  – MINOS, T2K, NO $\nu$ A;
- $|U_{\mu3}|^2 |U_{\tau3}|^2$  (evidence) – atmospheric data, OPERA.

**We still have a ways to go!**



## What Could We Run Into?

- New neutrino states. In this case, the  $3 \times 3$  mixing matrix would not be unitary.
- New short-range neutrino interactions. These lead to, for example, new matter effects. If we don't take these into account, there is no reason for the three flavor paradigm to “close.”
- New, unexpected neutrino properties. Do they have nonzero magnetic moments? Do they decay? The answer is ‘yes’ to both, but nature might deviate dramatically from  $\nu$ SM expectations.
- Weird stuff. CPT-violation. Decoherence effects (aka “violations of Quantum Mechanics.”)
- etc.

## Summary

At the end of the 20th Century, the venerable Standard Model sprung a leak: **neutrinos are not massless!**

1. We still **know very little** about the new physics uncovered by neutrino oscillations. In particular, the new physics (broadly defined) can live almost anywhere between sub-eV scales and the GUT scale.
2. **neutrino masses are very small** – we don't know why, but we think it means something important.
3. **neutrino mixing is “weird”** – we don't know why, but we think it means something important.
4. **We need more data** – from everywhere! – and the data are on their way.  
Stay tuned!

# Backup Slides . . .



## High-Energy Seesaw: Brief Comments

- This is everyone's favorite scenario.
- Upper bound for  $M$  (e.g. Maltoni, Niczyporuk, Willenbrock, hep-ph/0006358):

$$M < 7.6 \times 10^{15} \text{ GeV} \times \left( \frac{0.1 \text{ eV}}{m_\nu} \right).$$

- Hierarchy problem hint (e.g., Casas et al, hep-ph/0410298; Farina et al, ; 1303.7244; AdG et al, 1402.2658):

$$M < 10^7 \text{ GeV}.$$

- Leptogenesis! “Vanilla” Leptogenesis requires, very roughly, smallest

$$M > 10^9 \text{ GeV}.$$

- Stability of the Higgs potential (e.g., Elias-Miró et al, 1112.3022):

$$M < 10^{13} \text{ GeV}.$$

- Physics “too” heavy! No observable consequence other than leptogenesis.  
Will we ever convince ourselves that this is correct? (Buckley et al, hep-ph/0606088)

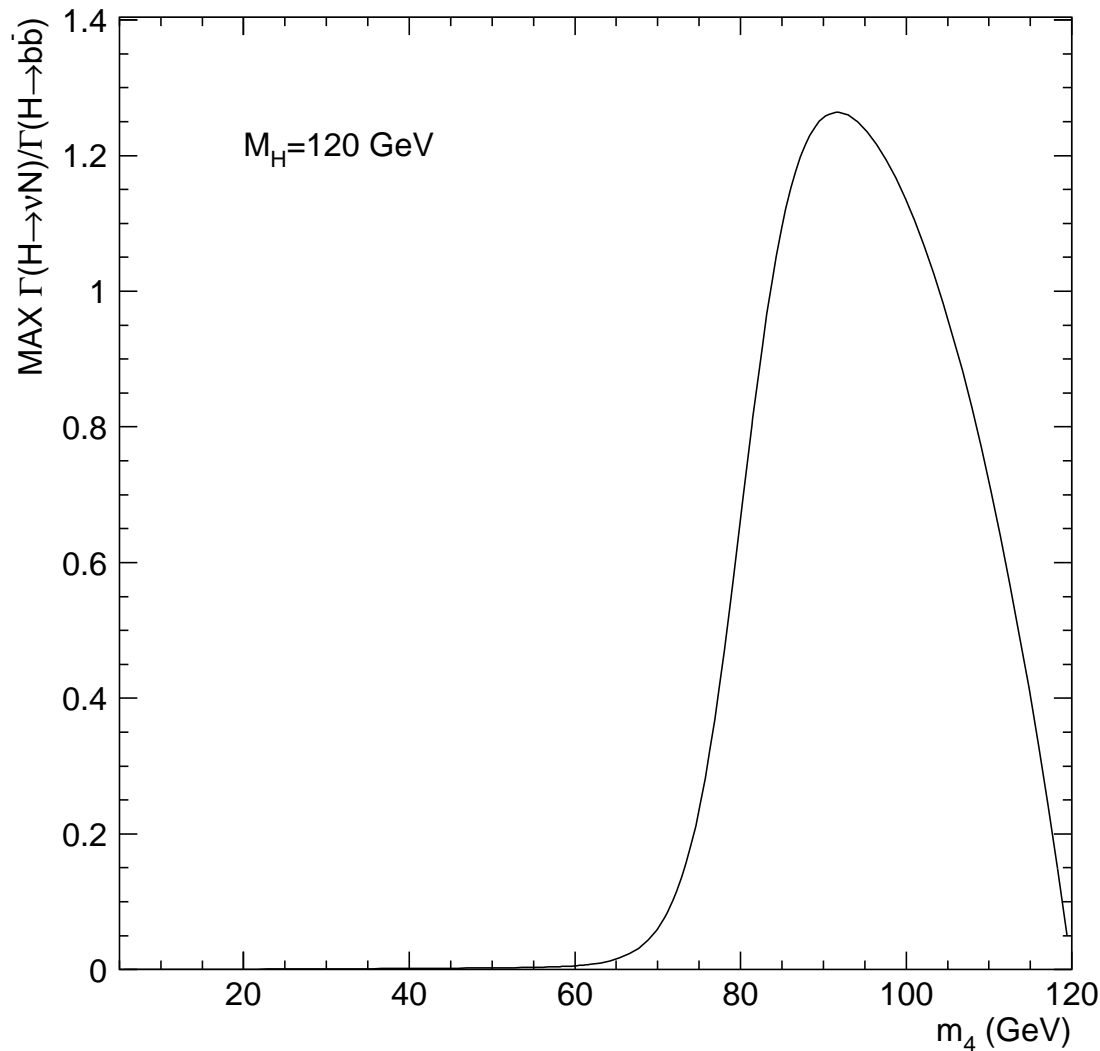
## Low-Energy Seesaw: Brief Comments [AdG PRD72,033005)]

The other end of the  $M$  spectrum ( $M < 100$  GeV). What do we get?

- Neutrino masses are small because the Yukawa couplings are very small  $\lambda \in [10^{-6}, 10^{-11}]$ ;
- No standard thermal leptogenesis – right-handed neutrinos way too light?  
[For a possible alternative see Canetti, Shaposhnikov, arXiv: 1006.0133 and reference therein.]
- No obvious connection with other energy scales (EWSB, GUTs, etc);
- Right-handed neutrinos are propagating degrees of freedom. They look like sterile neutrinos  $\Rightarrow$  sterile neutrinos associated with the fact that the active neutrinos have mass;
- sterile–active mixing can be predicted – hypothesis is falsifiable!
- Small values of  $M$  are natural (in the ‘tHooft sense). In fact, theoretically, no value of  $M$  should be discriminated against!

## Weak Scale Seesaw, and Accidentally Light Neutrino Masses

[AdG arXiv:0706.1732 [hep-ph]]



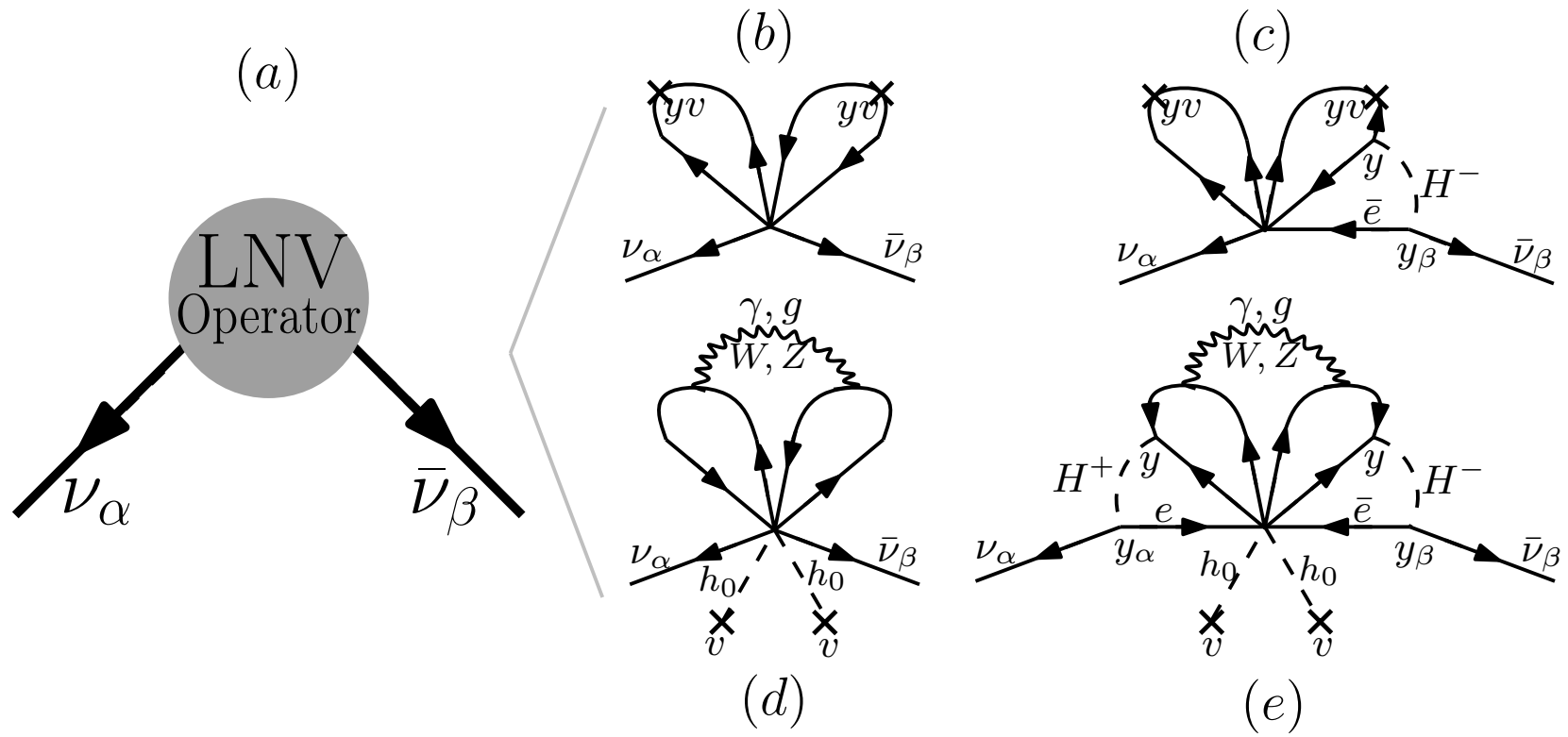
What does the seesaw Lagrangian predict for the LHC?

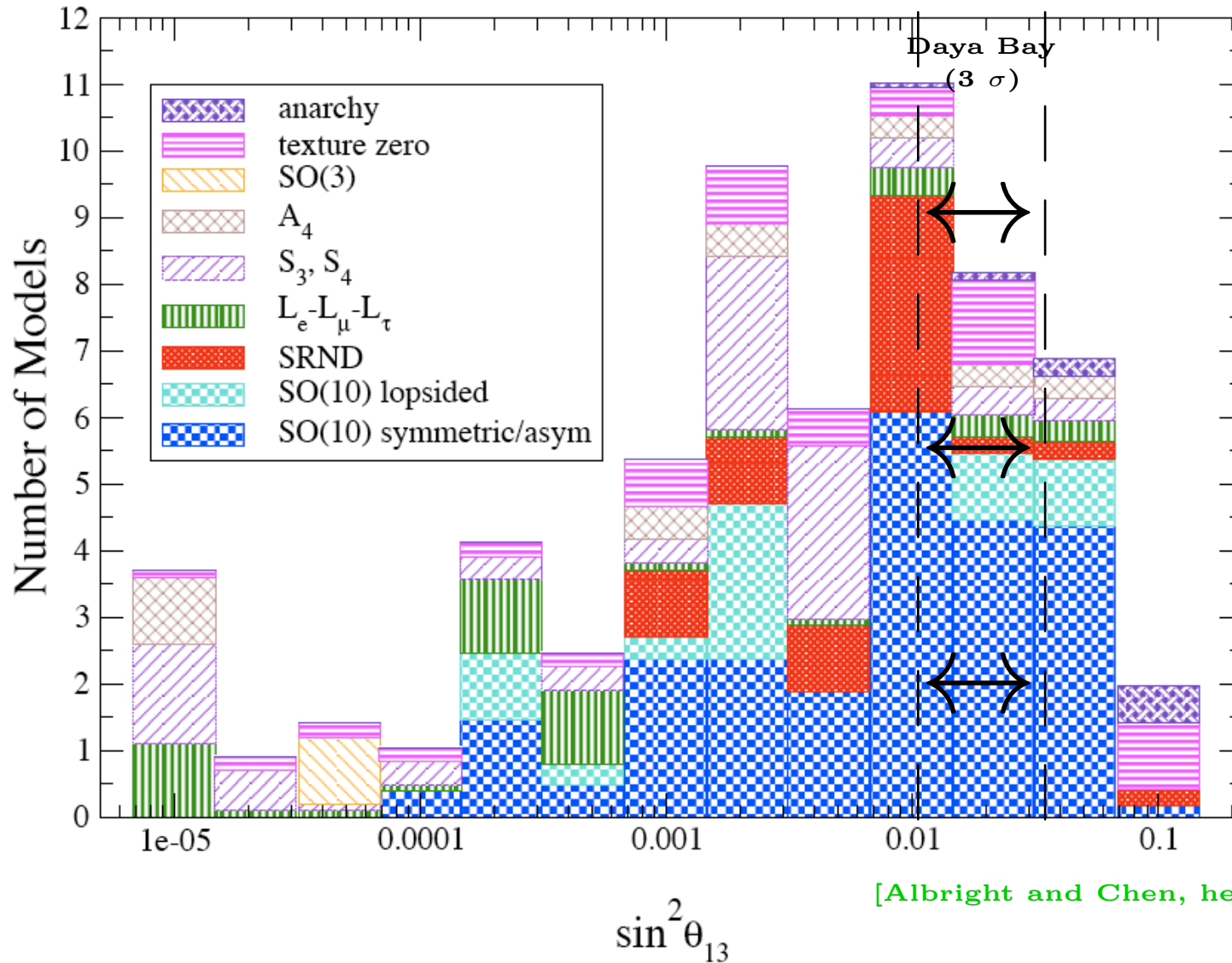
Nothing much, unless...

- $M_N \sim 1 - 100$  GeV,
- Yukawa couplings larger than naive expectations.

$\Leftarrow H \rightarrow \nu N$  as likely as  $H \rightarrow b\bar{b}$ !

(NOTE:  $N \rightarrow \ell q' \bar{q}$  or  $\ell \ell' \nu$  (prompt)  
“Weird” Higgs decay signature! )



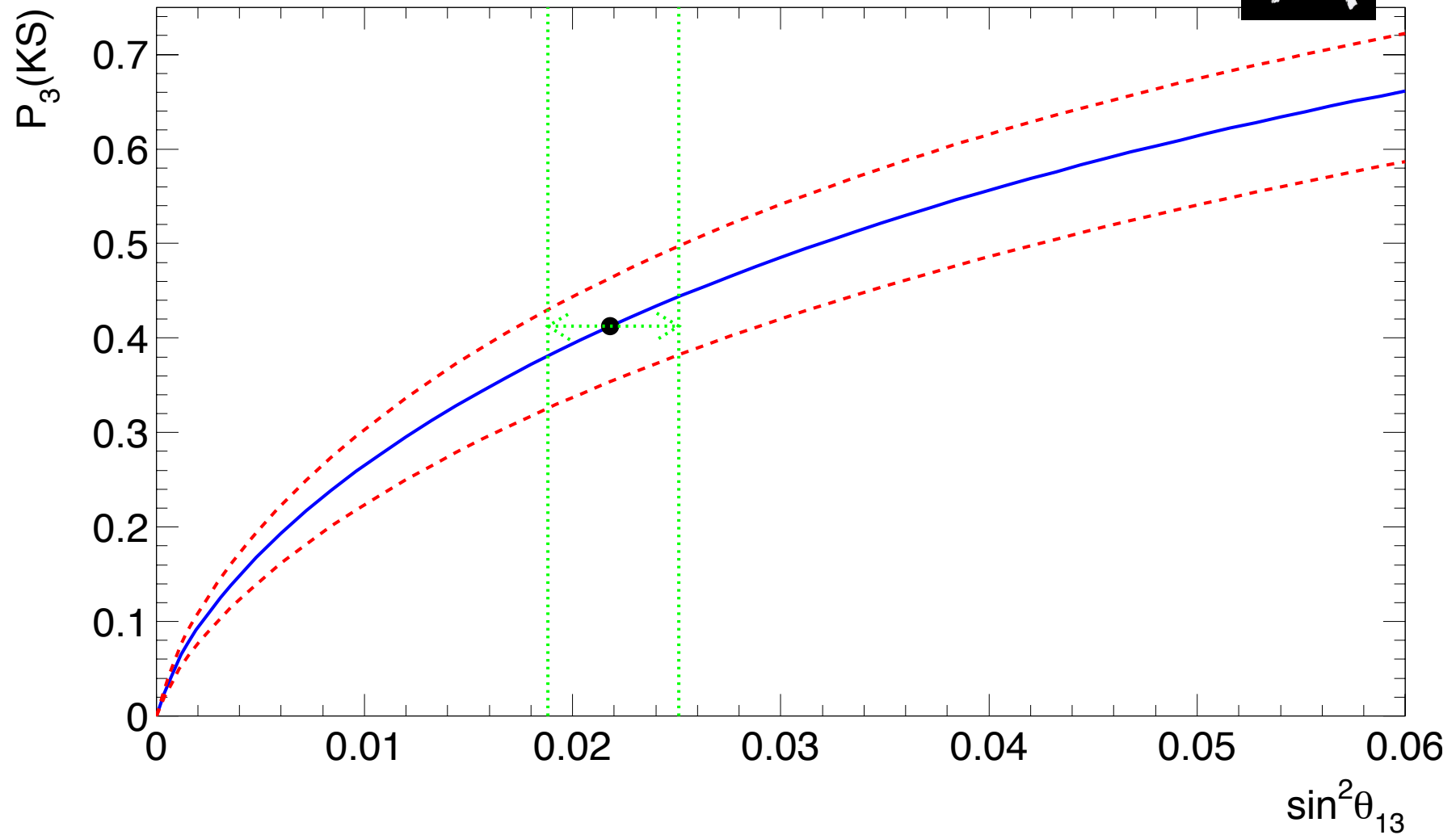
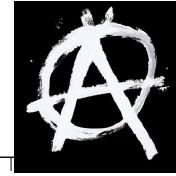


“Left-Over” Predictions:  $\delta$ , mass-hierarchy,  $\cos 2\theta_{23}$



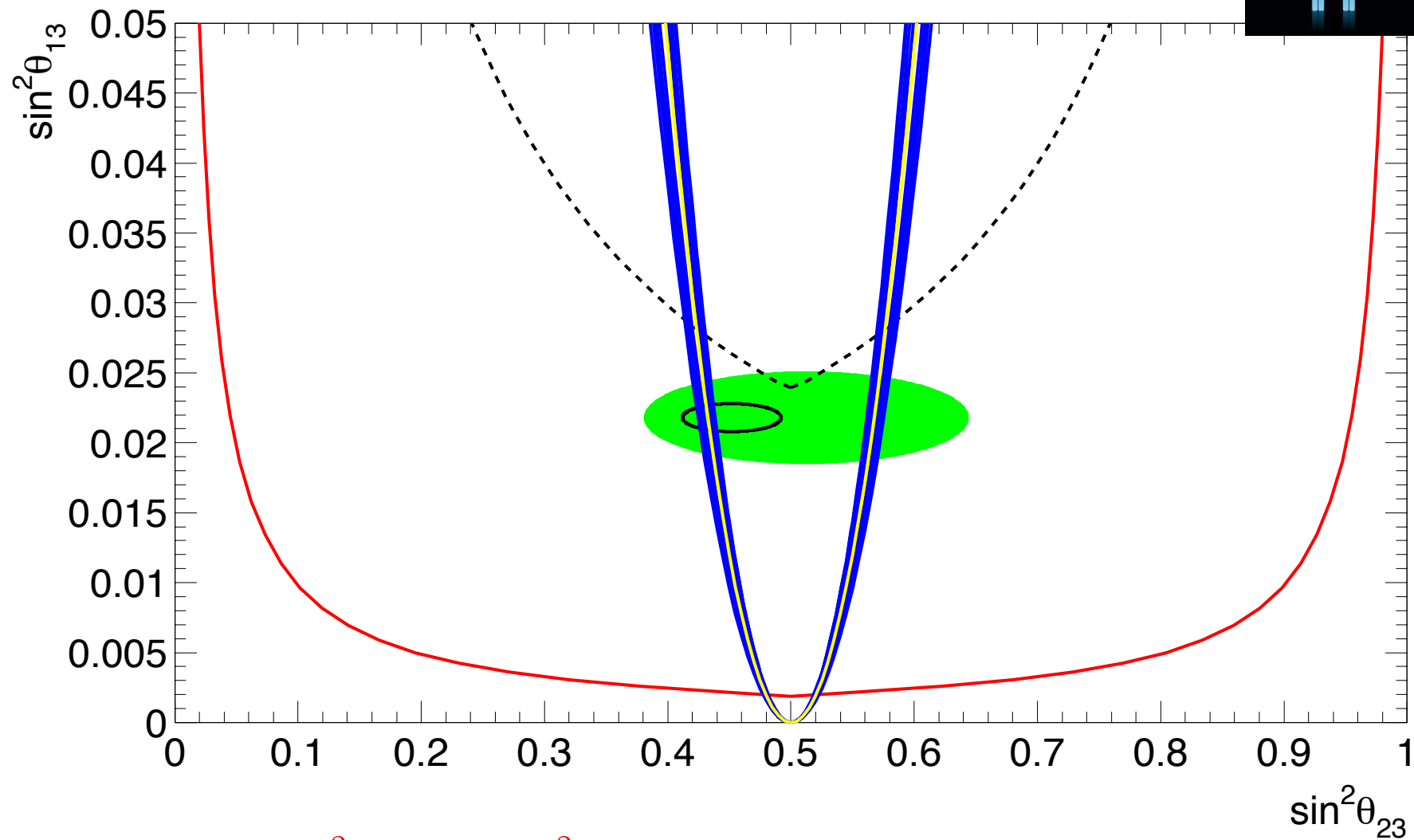
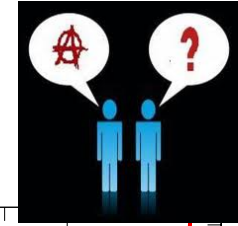
# Neutrino Mixing Anarchy: Alive and Kicking!

[Hall, Murayama, Weiner hep-ph/9911341]



[AdG, Murayama, 1204.1249]

**Anarchy vs. Order** — more precision required!



Order:  $\sin^2 \theta_{13} = C \cos^2 2\theta_{23}$ ,  $C \in [0.8, 1.2]$

[AdG, Murayama, 1204.1249]